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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/790,634	02/27/2004	Minoru Taya	UNIV0216

CONFIRMATION NO. 1330

FORMALITIES LETTER



OC000000012777928

Michael C. King
 LAW OFFICES OF RONALD M. ANDERSON
 Suite 507
 600 - 108th Avenue N.E.
 Bellevue, WA 98004

Date Mailed: 05/26/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

RECEIVED

MAY 28 2004

RONALD M. ANDERSON
LAW OFFICESItems Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$450** for a Small Entity

• \$385 Statutory basic filing fee.	08/31/2004 RADD01 00000041 10790634
• \$65 Late oath or declaration Surcharge.	01 FC:2001 385.00 0P
	02 FC:2051 65.00 0P

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MAIL STOP MISSING PARTS

CUSTOMER NUMBER 25268

1
2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
3

4 Applicant: Taya et al. Attorney Docket No: UNIV0216
5

6 Serial No: 10/790,634 Group Art Unit: 3683
7

8 Filed: February 27, 2004 Examiner:
9

10 Title: DESIGN OF FERROMAGNETIC SHAPE MEMORY ALLOY
11 COMPOSITES AND ACTUATORS INCORPORATING SUCH
12 MATERIALS
13

14 TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/
15 REQUEST FOR RECORDATION OF ASSIGNMENT/
16 REQUEST FOR EXTENSION OF TIME
17

18 Bellevue, Washington 98004
19

20 August 25, 2004
21

22 TO THE COMMISSIONER FOR PATENTS:
23

24 A. Transmitted Herewith Are The Following:
25

26 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S.
27 patent application.
28 2. Four Assignments of the invention to the University of Washington are attached. A
29 Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to each
30 Assignment. Please record these Assignments in accordance with 37 C.F.R. § 3.11.
31 3. A copy of the Notice to File Missing Parts.
32 4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

<u>COMPUTATION OF FEE</u>				
	<u>Number of Claims Filed</u>	<u>Number of Extra Claims</u>	<u>Rate</u>	<u>Basic Fee</u>
Total Claims	14 - 20 =0	0	\$9	\$385
Independent Claims	2 - 3 =0	0	\$43	0
Multiple Dependent Claims	-0	-0	\$145	0
			Total Filing Fee	\$385
			Surcharge Fee	65
			Extension of Time Fee	55
			Assignment Recording Fee	160
			TOTAL FEE	\$ 665

08/31/2004 RADD01 00000041 10790634
03 FC:2251 55.00 OP

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1 5. Our check No. 7635 in the amount of \$665 to cover the total fee as
2 computed above.

3 B. Request for Extension of Time

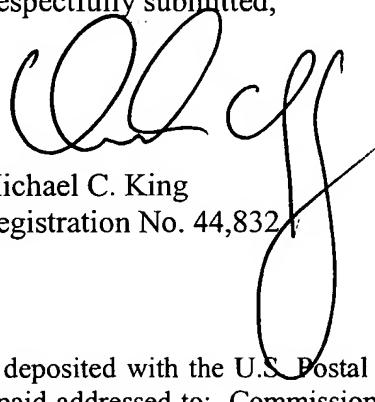
4 Applicant respectfully requests that the shortened statutory period for response to the Notice
5 to File Missing Parts dated May 26, 2004, set to expire on July 26, 2004, be extended by one (1)
6 month to expire on August 26, 2004. The \$55 small entity, one-month extension of time fee is
7 included in the enclosed check as noted above.

8 C. Additional Fee Charges or Credit for Overpayment

9 Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

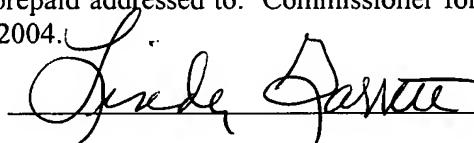
10 A copy of this sheet is enclosed.

11 Respectfully submitted,

12 
13 Michael C. King
14 Registration No. 44,832

15 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed
16 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.
17 Box 1450, Alexandria, VA 22313-1450, on August 25, 2004.

18 Date: August 25, 2004

19 
20 Linda J. Service